

Allianz Global Investors informs



General Privacy Notice for Suppliers

For Allianz Global Investors GmbH, registered at Bockenheimer Landstrasse 42-44, 60323 Frankfurt, Germany, and its subsidiaries and branches („AllianzGI“ or „we“), the protection of privacy and personal information (i.e. any information relating to an identified or identifiable individual person, „Personal Data“) is an important concern to which we pay special attention within all our business processes. We believe in transparency and are committed to being upfront about our privacy practices, including how we treat Personal Data.

This Privacy Notice is addressed to:

- our Suppliers and service providers who are natural persons/individuals (such as self-employed persons);
- the representatives or contact persons of our Suppliers and service providers who are legal entities; and
- any other visitors of one of our facilities

(together, the „Suppliers“).

1. Who we are

Allianz Global Investors GmbH is responsible for the processing of Personal Data of Suppliers for own purposes.

Moreover, other entities of AllianzGI could become responsible for the processing of Personal Data of Suppliers. A list of all AllianzGI entities with respective contact details is available under <https://www.allianzgi.com/en/our-firm/contact-us>.

To the extent two or more AllianzGI entities process Personal Data of Suppliers in a joint manner, we kindly ask you to address any queries you may have directly to the contact person whose details are set out in the end of this privacy notice. The respective contact person will then forward your query to the AllianzGI entity that is primarily responsible, if necessary.

We invite you to carefully read this Privacy Notice, which sets out in which context we are processing your Personal Data and explains your rights and our obligations when doing so.

Should you have any further question in relation to the processing of your Personal Data, including which AllianzGI entity is processing your Personal Data, we invite you to contact dataprivacy@allianzgi.com.

2. The types of Personal Data we may obtain

Personal Data may either be directly provided by you or provided by our Supplier or service provider (i.e. the legal entity for whom you work).

We may collect various types of Personal Data about you, including:

- your general and identification information (e.g. name, first name, last name, gender, email and/or postal address, fixed and/or mobile phone number and car registration number);
- your function (e.g. title, position and name of company);
- for natural persons/individuals acting as suppliers or service providers, financial information (e.g. bank account details); and
- your electronic identification data where required for the purpose of delivering products or services to our company (e.g. login, passwords, badge number and picture, IP address, online identifiers/cookies, logs, access and connection times, CCTV footage if allowed under applicable data privacy law).

As a matter of practice, we will not collect any sensitive Personal Data (for example data concerning health, racial or ethnic origin or political opinions) relating to individuals. If there is a need for AllianzGI to process such sensitive Personal Data, AllianzGI will obtain the individual's prior consent (directly or through your respective employer) if legally needed and only process such data in compliance with applicable data protection laws and regulations

If you intend to provide us with Personal Data about other individuals (e.g. your colleagues), you must provide a copy of this Privacy Notice to the relevant individuals, directly or through your employer.

3. Purposes of the collection, processing and use of Personal Data

We always process Suppliers' Personal Data for a specific purpose and only process the Personal Data, which is relevant to achieve that purpose. In particular, we process Suppliers' Personal Data for the following purposes depending on the services provided by the Supplier:

- administration of existing contractual relationships;
- billing of performed / provided services;
- granting access to and supporting you in connection with the use of IT resources belonging to AllianzGI including but not limited to SAP Ariba;
- granting access to AllianzGI premises;
- general corporate planning;
- safeguarding and defending AllianzGI's interests, for example where it is necessary to investigate, prevent or take action regarding (suspected) illegal activities, situations involving potential threats to the safety of any person or potential harm to AllianzGI; and fulfillment of legal obligations (for example, tax, accounting and administrative obligations as well as compliance with law enforcement requests or discovery procedures, or where required or permitted by applicable laws, court orders, government regulations, or government authorities).

4. Legal basis for the processing

AllianzGI processes Personal Data of Suppliers only where permitted by applicable legal bases, such as

- where the processing is necessary to perform our contractual obligations towards our Suppliers or to take pre-contractual steps at Suppliers' request;
- Compliance with a legal obligation to which we are subject (for example, where AllianzGI is legally obliged to retain certain Personal Data);
- where the processing is necessary for the legitimate interests of AllianzGI. Legitimate interests of AllianzGI include – as the case may be – the administration of existing contractual relationships and performance of the respective services; protecting AllianzGI and/or its employees from harm, by preventing or detecting illegal activities, suspected fraud or situations involving potential threats to the safety of any person or potential harm to AllianzGI. Our Suppliers should note

that, when processing Suppliers' Personal Data on this last basis, we always seek to maintain a balance between our legitimate interests and Suppliers' privacy.

To the extent this is required by applicable data protection laws and regulations, we will separately ask you for your consent (directly or via your employer) and provide information as to what happens if you do not give consent.

5. **Who has access to Suppliers' Personal Data and to whom are they transferred?**

Sharing of your Personal Data or access to such Personal Data is only granted to a restricted group of selected individuals at AllianzGI bound by data secrecy, and such access is always only granted on a "need to know" basis, i.e. insofar as knowledge of the data is required to perform the tasks assigned to these individuals.

In connection with the processing of such Personal Data, AllianzGI may commission affiliated companies of the Allianz Group or external service providers to perform certain tasks as part of their ordinary business activities (e.g. outsourcing of accounting or commissioning of IT service providers). AllianzGI will (i) select such providers carefully and (ii) ensure that these providers take appropriate technical and organizational measures to protect such Personal Data, and only process this data in line with the instructions issued by AllianzGI and for no purposes other than those specified. In connection with the Processing of such Protected Data, AllianzGI may commission affiliated companies of the Allianz Group or external service providers to perform certain tasks as part of their ordinary business activities (e.g. outsourcing of accounting or commissioning of IT service providers). AllianzGI will (i) select such providers carefully and (ii) ensure that these providers take appropriate technical and organizational measures to protect such Protected Data, and only process this data in line with the instructions issued by AllianzGI and for no purposes other than those specified.

6. **Where we process your Personal Data**

Suppliers' Personal Data may be processed both inside and outside of the European Union ("EU") and the European Economic Area ("EEA") by the parties specified in this section. EU / EEA Member States and other countries all have different laws regarding the protection of such Personal Data, and the level of data privacy in countries outside of the EU / EEA might be lower. When transferring such Personal Data internationally AllianzGI makes sure to comply with applicable data privacy laws and regulations, for example, by relying on decisions of the EU Commission on the adequacy of data privacy in a certain country, or – in the absence of such decision – by entering into agreements which will ensure that the recipients of Suppliers' Personal Data maintain an adequate level of data privacy (for example by implementing so-called Standard Contractual Clauses approved by the EU Commission), or – within the Allianz Group – on the basis of Allianz' approved binding corporate rules known as the Allianz Privacy Standard ("Allianz' BCRs") which establish adequate protection for Personal Data within Allianz Group and are legally binding on all Allianz Group companies. Allianz' BCRs and the list of Allianz Group companies that comply with them can be accessed [here](#). AllianzGI may also disclose the Suppliers' Personal Data to foreign governmental agencies or entities, regulatory authorities, or other persons in line with any applicable law, regulations, court order or official request, or similar processes as either required or permitted by applicable law.

7. **How do we protect Suppliers' Personal Data?**

AllianzGI maintains appropriate technical and organizational security safeguards designed to protect Personal Data against accidental, unlawful or unauthorized destruction, loss, alteration, access, disclosure, or use.

Moreover, when handling Suppliers' Personal Data, we:

- only collect and process Personal Data which is adequate, relevant and not excessive, as required to meet the above purposes; and
- ensure that suppliers' Personal Data remains up to date and accurate.

For the latter, we may request our Suppliers to confirm the Personal Data we hold about our Suppliers. Our Suppliers are also invited to spontaneously inform us whenever there is a change in suppliers' personal circumstances so we can ensure Suppliers' Personal Data is kept up-to-date.

8. Duration of Data Storage

We will only retain Suppliers' Personal Data for as long as necessary to fulfil the purpose for which it was collected or to comply with legal or regulatory requirements.

The retention period is the term of Suppliers' (or Suppliers' company's) supply or service contract, plus the period of time until the legal claims under this contract become time-barred, unless overriding legal or regulatory schedules require a longer or shorter retention period. When this period expires, suppliers' personal data is removed from our active systems.

Personal Data collected and processed in the context of a dispute are deleted or archived (i) as soon as an amicable settlement has been reached, (ii) once a decision in last resort has been rendered or (iii) when the claim becomes time barred.

9. What are Suppliers' rights and how can our suppliers exercise them?

Supplier' rights under applicable data protection laws and regulations include access to the Personal Data AllianzGI processes about them, the right to have such Personal Data corrected, erased or blocked, the right to restrict the processing of Personal Data, and the right to object to certain data processing activities (on specific grounds relating to your particular situation that override our compelling legitimate grounds for the processing), as well as the right to data portability, the right to withdraw consent at any time where your Personal Data is processed with your consent (without affecting the lawfulness of processing based on consent before its withdrawal), and the right to lodge a complaint with the respective AllianzGI entity and/or the competent data protection authority. To exercise these rights, Suppliers should make a request (in written or electronic form) using the contact details set out below.

Suppliers also have the right to object to the processing of their Personal Data for direct marketing - if any - (for example in relation to the receipt of newsletters) at any time with effect for the future by using the below contact details.

10. Updates to this Privacy Notice

AllianzGI may update this Privacy Notice from time to time. We will notify Suppliers of any significant changes to this Privacy Notice on the relevant AllianzGI websites or through other appropriate communication channels, such as emails to the Supplier or via a request to the legal entity for whom you work to inform you of such changes. All changes shall be effective from the date indicated on the updated Privacy Notice, unless otherwise provided in the notification.

11. How to contact us

Comments or inquiries about this Privacy Notice, requests to update information we have about you, or requests to exercise your rights shall be sent to the responsible Data Protection Officer by e-mail to the following email-address: dataprivacy@allianzgi.com. Requests can also be sent by postal mail to Allianz Global Investors GmbH, attention: Data Protection Officer, Bockenheimer Landstrasse 42-44, 60323 Frankfurt, Germany.