

## Allianz Global Investors- Sustainability Initiatives Overview

Initiative		Allianz Global Investors' Position	Description	Date	Topics
30% Club France	30% Club France	Member	The purpose of the Club is to co-ordinate the investment community's approach to diversity, in particular to explain the investment case for more diverse boards and senior management teams; exercise ownership rights, including voting and engagement, to effect change on company boards and within senior management teams and encourage all investors to engage on the issue of diversity with chairs of boards and senior management teams.	2021 - present	Inclusion and Diversity (I&D)
AFG	Association Francaise de la Gestion financiere (French Asset Management Association)	Member	AFG is the French Asset Management Industry Association	since inception - present	ESG, Corporate Governance
		Member Corporate Governance Committee	The Corporate Governance Committee of the AFG aims to promote best governance practices on the French marketplace, improve practices at French issuers and discuss proxy-related issues such as the role of proxy advisors, shareholder activism.	since inception - present	
		Member Technical Committee Responsible Investment	Standing committee organizes the working groups such as the SRI label, the ESR indicators or the energy transition law and others Committee aims to be a place for sharing information on current regulations, initiatives, promotion responsible investment.	2019 - present	
AIGCC	Asian Investor Group on Climate Change	Member	The Asia Investor Group on Climate Change (AIGCC) is an initiative to create awareness among Asia's asset owners and financial institutions about the risks and opportunities associated with climate change and low carbon investing.	2018 - present	Climate
		Member Engagement and Policy Working Group	The work this group undertakes on engagement is complementary and in parallel with company engagement as part of Climate Action 100+. Given the interconnected nature of company engagement and government policy in the region, combining the focus of this working group will initially enable investors to understand how their increasingly important role in policy advocacy can also help drive more ambitious corporate climate action.	2021 - present	
		Member Paris Aligned Investment Working Group	The group focuses on developing investor solutions for facilitating the transition to a net-zero emissions economy in Asia, and to track global progress. The group oversees AIGCC's second annual low carbon investment survey. The group identifies and collates what institutional-grade low carbon opportunities are available in the region and how they can be scaled up based on research.	2021 - present	
BVI	Bundesverband Investment und Asset Management	Member	BVI is the German Investment Funds Association.	2011 - present	ESG
		Member Committee Sustainability	The committee deals with strategic and technical issues related to sustainable investing, including corporate governance. It accompanies regulatory and political initiatives in the field of sustainable finance, especially at the European level, and evaluates their practical effects. It decides on the positioning of the BVI in the context of ongoing regulatory projects and develops approaches for the BVI's proactive role in the further development of the legal framework. In addition, it discusses essential aspects of standardization in the market for sustainable investments.	2020 - present	
		Member Working Group Responsible Investing	The working group prepares the association's positions on responsible investing.	2011 - present	
		Member Working Group Corporate Governance	The working group prepares the association's positions on current legislative projects relating to corporate governance at national and European level and develops the BVI analysis guidelines for general meetings.	2015 - present	
		Member Working Group ESG Risk Management	The working group prepares the association's positions on sustainability risks in the risk management processes of fund portfolios and on the management company level.	2020 - present	
CDP	Carbon Disclosure Project	Investor Member	International non-profit organization that promotes carbon reporting by requesting information from leading companies about their GHG emissions and other environmental impacts.	2015 - present	Climate
CBI	Climate Bonds Initiative	Partner	International, investor-focused not-for-profit. It's the only organisation in the world working solely on mobilizing the \$100 trillion bond market for climate change solutions.	2015 - present	Climate
CFLI	Climate Finance Leadership Initiative	Founding Member	CFLI aims to unlock climate finance in emerging markets, thereby mobilizing private capital in order to help finance the transition towards a more sustainable tomorrow.	2019 - present	Climate

CII	Council of Institutional Investors	Member	The Council of Institutional Investors is the leading corporate governance network in the US, advocating effective corporate governance practices for US companies and strong shareholder rights and protections.	2017 - present	Corporate Governance
Climate Action 100+	Climate Action 100+	Participant	The Climate Action 100+ is an investor-led initiative to ensure the world's largest corporate greenhouse gas emitters take necessary action on climate change.	2017 - present	Climate
The Conference Board	The Conference Board	Member	The Conference Board connects businesses across geographies and provides them with insights that enable them to anticipate what is ahead, improve their performance and better serve society.	2019 - present	Corporate Governance
		Co-Chair Corporate Governance Council	The Corporate Governance Council was founded in Europe in recognition of the growing importance attached by investors to the effectiveness of the corporate board. It has strong connections to the Corporate Governance Research Center, based in New York. The Council is dedicated to executives with governance interest and responsibility, based in Europe, including corporate secretaries, legal counsel, and institutional investors responsible for corporate governance policies.	2019-present	
DVFA	Deutsche Vereinigung für Finanzanalyse	Board Member/ Sponsor	DVFA is a professional association of investment professionals in Germany. DVFA sets German industry standards.	2005 - present	ESG
		Member Kommission Sustainable Investing	The DVFA Kommission Sustainable Investing addresses how to better integrate Sustainability in analysis and how to address policy and regulation in the German market with a focus on education and formation of analysts.	2018 - present	
		Member Kommission Corporate Governance	The DVFA Kommission Corporate Governance has set itself the goal of promoting best practice for corporate governance in listed companies. The Commission's activities include addressing current aspects of corporate governance, developing standards and helping to shape relevant regulatory processes.	2015 - present	
EFAMA	European Fund and Asset Management Association	Member Stewardship, Market Integrity, ESG Investment Standing Committee	The Standing Committee's areas of focus are stewardship role of asset managers, market integrity and ESG and Sustainable Investment topics. In particular, the Committee addresses the topics of Shareholders Rights and Obligations, Relationships between asset managers and investee companies (Stewardship), Responsible Investment, ESG Labels, Market Abuse and Sanctions.	since inception - present	ESG
ELFA	European Leveraged Finance Association	Member	ELFA is the European Leveraged Finance Association, which derived from a former European High Yield Association. The foundation of the association is to have a buy-side group which helps push for improved reporting and governance in the European leveraged finance arena. With regard to topics on ESG, it covers primarily governance, but the association helps educate and promote asset management engagement with issuers on ESG.	2020 - present	ESG, Corporate Governance
		Member of ESG Committee	The ESG Committee is working to improve disclosure on ESG topics in the leveraged finance market and to develop best practice guidance on ESG disclosures for sub-investment grade corporate borrowers in collaboration with the PRI.	2020 - present	ESG
FAIRR	FAIRR Initiative	Member	FAIRR is an investor network raising awareness of ESG risks and opportunities in intensive animal agriculture, and helping build a more sustainable food system.	2019 - present	ESG
FIR	Forum pour l'Investissement Responsable	Member	French Sustainable Investment Forum – membership based organization addressing policy and other ESG topics specific to the French market. Organizes regular events, seminars, workshops, co-ordinates responses to consultations, etc.	2009 - present	ESG
FFS	Forum per la Finanza Sostenibile	Participant	Italian Sustainable Investment Forum – membership based organization addressing policy and other ESG topics specific to the Italian market. Organizes regular events, seminars, workshops, co-ordinates responses to consultations, etc.	2011 - present	ESG
FNG	German, Austrian and Swiss Sustainable Investment Forum	Member	German Sustainable Investment Forum – membership based organization addressing policy and other ESG topics specific to the German market. Organizes regular events, seminars, workshops, co-ordinates responses to consultations, etc.	2013 - present	ESG

GBP	Green Bond Principles	Member	The GBP are intended for broad use by the market: they provide issuers guidance on the key components involved in launching a credible Green Bond; they aid investors by ensuring availability of information necessary to evaluate the environmental impact of their Green Bond investments; and they assist underwriters by moving the market towards standard disclosures which will facilitate transactions.	2015 - present	Environment
		Member Advisory Council Green Bonds and Social Bonds	The role of the Advisory Council is to advise the Executive Committee, to increase its market awareness and outreach, and to enable further engagement with specific membership categories and observers.	2019 - present	
GIIN	Global Impact Investing Network	Member	The Global Impact Investing Network is a nonprofit organization dedicated to increasing the scale and effectiveness of impact investing around the world. They do this by building critical infrastructure and developing activities, education, and research that help accelerate the development of a coherent impact investing industry.	2018 - present	Impact Investing
GPCA	Global Private Capital Association	Member	The Global Private Capital Association (GPCA) aims to connect and influence key market participants, and to promote the sectors, strategies and deals driving investment returns and meeting societal needs.	2021 - present	Impact Investing
HKIFA	Hong Kong Investment Funds Association	Member	The HKIFA represents the fund management industry of Hong Kong. It aims to foster the development of the fund management industry in Hong Kong, enhance the professional standards of the industry and to maintain Hong Kong's competitiveness as the major fund management center in Asia.	2004 - present	ESG
		Member ESG working group	The ESG working group brings together fund managers to discuss on current ESG topics in the industry, thereby shaping the development of sustainable investment in Hong Kong.	2021 - present	
IA	The Investment Association	Member	A trade body for the UK's asset management industry. We are a member and represented on various working groups.	2015 - present	ESG
		Member Stewardship Committee	The Committee has the goal to hold big businesses to account to ensure better run UK-listed companies, which ultimately achieve better returns on savers' money.	2016 - present	
		Member Sustainable and Responsible Investment Committee	The Committee has a broad objective to consider and lead on all issues affecting the Investment Association's (the "IA") member firms in the area of sustainability and responsible investment. The Committee will not deal with governance and engagement matters already covered by the IA Governance & Engagement Committee.	2018 - present	
ICGN	International Corporate Governance Network	Member	Aims to inspire effective standards of corporate governance to advance efficient markets world-wide. This is achieved through the ICGN Global Governance Principle. Co-chair ICGN's Shareholder Rights Committee.	2015 - present	Corporate Governance
iCI	Initiative Climat International	Member	The iCI represents a collective commitment to understand and reduce carbon emissions of private-equity based companies and secure sustainable investment performance.	2021 - present	Climate
IIGCC	Institutional Group on Climate Change	Member	IIGCC provides investors with a collaborative platform to encourage public policies, investment practices, and corporate behaviour that address long-term risks and opportunities associated with climate change.	2016 - present	Climate
		(under Paris Aligned Investor initiative) Net Zero Technical Working Group	The group contributes to the PAII by addressing analytical gaps where further development of methodologies and approaches is required to support implementation of the Framework. Topics include development of target setting methodologies, use of offsetting, Scope 3 emissions inclusion and measurement. The Net Zero technical working group takes forward development and oversight of this work.	2021 - present	
		(under Paris Aligned Investor initiative) Climate Solutions Working Group	A dedicated working group to develop best practice and support members to increase investment in climate solutions. This group oversees analytical work on defining pathways and setting targets for investment in climate solutions, support members to set targets and make commitments in relation to investment in climate solutions, and more broadly share best practice and build capability for investing in climate solutions.	2020 - present	
The Investor Forum	UK Investor Forum	Underwriting signatory	An investment industry body set up to facilitate dialogue between UK corporates and their investors	2015 - present	ESG
The Investor Mining & Tailings Safety Initiative	The Investor Mining & Tailings Safety Initiative	Participant	The Investor Mining & Tailings Safety Initiative is an investor led engagement convening institutional investors active in extractive industries including major asset owners and asset managers.	2019 - present	ESG

Nasdaq Sustainable Bond Network	Nasdaq Sustainable Bond Network	Member of the Advisory Board of the Nasdaq Sustainable Bond Network	Nasdaq Sustainable Bond Network (NSBN) is a global platform that aims to increase transparency and accessibility to environmental, social and sustainability bonds. NSBN utilizes an open-to-all platform on which issuers can showcase their sustainable bonds and related documents and data. The role of Advisory board is to continuously providing feedbacks to NSBN. Advisory board is comprised of major public and private investors, issuers and experts organisations, including Allianz Global Investors, Nordic Investment Bank, SEB, Alecta, among others.	2019 - present	Climate
NZAMI	Net Zero Asset Managers Initiative	Member	The Net zero Asset Managers Initiative is an international group of asset managers committed to supporting the goal of net zero greenhouse gas emissions by 2050 or sooner, in line with global efforts to limit warming to 1.5 degrees Celsius.	2021 - present	Environment
OPAM	One Planet Asset Manager Initiative	Member	The One Planet Asset Manager Initiative (OPAM) was launched to support the members of the One Planet Sovereign Wealth Fund (OPSWF) in their implementation of the OPSWF Framework. The goal is to accelerate the understanding and integration of the implications of climate-related risks and opportunities within long-term investment portfolios through sharing of investment practices and expertise.	2021 - present	Climate
		Member of steering committee	The steering committee brings together technical leadership and commitment by its participants aiming to further support the implementation of the OPSWF framework. Thereby this group contributes to actively shaping the future of the initiative.	2021 - present	
PRI	UN Principles for Responsible Investment	Signatory	Global industry wide initiative to mobilize greater integration of ESG factors in day to day investment decisions and processes.	2007 - present	ESG
		Member Global Policy Reference Group	The aim of the group is to inform and strengthen PRI's and its signatories' public policy engagement on responsible investment topics, as well as to encourage alignment between its signatories' responsible investment commitments and public policy efforts. Ultimately, the group strives for a regulatory environment aligned with PRI's mission and principles.	2018 - present	
SpainSIF	Spain Sustainable Investment Forum	Member	Spanish Sustainable Investment Forum – membership based organization addressing policy and other ESG topics specific to the Spanish market. Organizes regular events, seminars, workshops, etc.	2018 - present	ESG
Swiss Sustainable Finance	Swiss Sustainable Finance	Member	Swiss Sustainable Finance aims to strengthen Switzerland's position as a leading voice and actor in sustainable finance, thereby contributing to a sustainable and prosperous economy.	2021 - present	ESG
TCFD	Taskforce on Climate-related Financial Disclosures	Supporter	The TCFD seeks to develop recommendations for voluntary climate-related financial disclosures that are consistent, comparable, reliable, clear, and efficient, and provide decision-useful information to lenders, insurers, and investors.	2019 - present	Climate
Value Reporting Foundation	Value Reporting Foundation (SASB Standards)	Member SASB Alliance	The Value Reporting Foundation aims to establish industry-specific disclosure standards across ESG topics that facilitate communication between companies and investors about financially material, decision useful information. The SASB Alliance is a new membership program for organizations and individuals that support the need for more decision-useful, cost-effective sustainability disclosure. Members share the belief that today's capital markets need standardized sustainability disclosure and effective ESG integration into investment practices – for the benefit of both companies and investors.	2020 - present	ESG
		Member SASB Investor Advisory Group	The IAG comprises leading asset owners and asset managers who are committed to improving the quality and comparability of sustainability-related disclosure to investors. IAG membership is by invitation only to global institutional investors which have previously joined the SASB Alliance and which commit to engaging companies on the use of the SASB standards.	2020 - present	
WBA	World Benchmarking Alliance	Member	The World Benchmarking Alliance (WBA) seeks to generate a movement around increasing the private sector's impact towards a sustainable future for all. In 2015, the United Nations developed 17 Sustainable Development Goals (SDGs) to help guide us. The WBA is working to incentivise and accelerate companies' efforts towards achieving these goals.	2019 - present	ESG