

***Handout for suppliers and external  
persons***

**Summary of the most important elements of  
the Global Speak Up Reporting and  
Anti-Retaliation Policy**

ALLIANZ GLOBAL INVESTORS  
Legal & Compliance

2023

### **I. What to Report - Type of complaints or information for which the Procedure is applicable**

Our Speak up reporting channels are available to all employees of the Allianz Global Investors Group (hereinafter also referred to as "the **Company**") and its suppliers, as well as to anyone who has information about potential or actual misconduct in connection with Allianz Group Companies or their suppliers (people who submit a complaint are subsequently called "**Reporter(s)**"). Please contact us via one our reporting channel listed below, if you observe any violations of Human Rights or Environment Related Risks or any potential other misconduct at the Company or at one of its suppliers.

### **II. How to report – complaint channel through which information or complaints can be reported to the Company**

Reporters who have information about potential or actual misconduct in connection with the Company or its suppliers may report complaints or concerns via [whistleblowing@allianzgi.com](mailto:whistleblowing@allianzgi.com). The Company will fully maintain confidentiality, consistent with applicable legal requirements.

### **III. The Company does not tolerate retaliation**

The Company will not tolerate retaliation, harassment or reprisals of any kind towards a Reporter who, in good faith, reports any violations of Human Rights or Environmental Related Risk or any other potential misconduct.

### **IV. The Company's response - The stages of the Procedure**

For any report, where the Reporter leaves their contact details, the Company will confirm receipt of the report within seven calendar days. The report is investigated by independently operating members of our Legal & Compliance Departments.

Depending on the type of concern raised, it might be necessary to involve subject matter experts from other departments (such as but no limited to HR, Sustainability, Purchasing or Data Protection). The confidentiality of your personal information will be protected and maintained at all times.

The Company is committed to take immediate action towards remediating any substantiated concerns. The responsible Senior Management within the Legal & Compliance Departments will get in touch with the Reporter or and/or the people affected by the misconduct to discuss remedial action. One factor determining the specific remedial action is the severity of the misconduct. Should the misconduct have taken place at a supplier, it also depends on the Company's ability to influence the supplier.

By no later than three months after the confirmation of receipt of the report the Company will give any Reporter, who left contact details, feedback on any potential or actual measures taken to remedy the situation.